

Protocol for Joint PhD Agreements

(For Students)

This Protocol is a synopsis of the important points regarding joint agreements between UM and the partner institutes. Students and supervisors from both parties should also refer to the details of the individual agreement which they are undertaking.

1. Student Status and Supervision

- 1.1 Students under joint agreements are registered students of the University of Macau (UM). They must follow all the regulations and rules of the UM, including but not limited to the *General Rules Governing PhD and Other Doctoral Degree Programmes*.
- 1.2 Students shall carry out their studies and research under the co-supervision of the UM supervisor and co-supervisor of the partner institute. The supervisor and co-supervisors must be the committee members of all the examinations and assessments of the students.
- 1.3 The mutual consent between the supervisor and co-supervisor from both parties should be obtained before students reside in the partner institute for research after the completion of the coursework.
- 1.4 The Qualifying Examination, Thesis Proposal Assessment and Thesis Oral Defence must take place in UM. In addition, the composition of these three assessment committees shall be decided by UM and the partner institutes, where both parties should participate in all the procedures and acknowledge the results.
- 1.5 The related academic units from both parties should communicate regularly for affairs related to the students under joint agreements, including the study progress, research progress, and disciplinary issues, etc.

2. Tuition Fee Arrangement and Financial Support

- 2.1 Students shall pay the tuition fees for the programme they registered in and other related costs directly to UM, which includes fees payable at both the coursework stage and thesis writing (or research) stage. For details, students can refer to the *Tuition Fees for Postgraduate Programmes* of the academic year they are admitted in.
- 2.2 Financial support for students under joint agreements varies across agreements. Students, supervisors and co-supervisors, as well as the administrative staff of the corresponding academic units should communicate well and make proper arrangements. They should also refer to the details of the financial arrangement of the specific agreement which they are undertaking.
- 2.3 The financial support to students studying beyond the normative study period of the PhD programme will not be guaranteed and will be subject to the mutual agreement and arrangement of the supervisors from both parties.

3. Authorship of Scholarly Publications

Students should meet the publication requirements of the University of Macau for graduation. The supervisor and co-supervisor from both parties shall be the co-authors on the scholarly publications out of the degree theses during the entire study period of the programme and afterwards. Either or both of the supervisor and co-supervisor shall be the corresponding author of the publications according to the agreement. It is highly recommended that the supervisor and co-supervisor from both sides reach an agreement on this matter as well in addition to the agreement between the universities. The administrative staff in charge of joint PhD programmes and PhD Advisory Committee members including the UM supervisor should carefully check these requirements before accepting the thesis for oral defense.

4. Intellectual Property and Patent Application

Students under joint agreements have to comply with the *Regulation of Management of Intellectual Property for University of Macau* and its corresponding rules and guidelines during the entire study period of the programme. Under the premise that each party in connection with the joint agreement is authorized respectively:

- 4.1 The generated scientific and technological achievements and the resulting intellectual property rights developed jointly by both parties in connection with the joint agreement are owned by both parties jointly. The details of the co-ownership originated from each collaboration work in the joint agreement will be decided by separate mutual agreements in writing of both parties.
- 4.2 Unless with prior written consent from both parties, each party shall not transfer or use the generated scientific and technological achievements and the resulting intellectual property rights developed jointly by both parties in connection with the joint agreement for any commercial purpose or licensing activity. The distribution of income from the scientific and technological achievements or the intellectual property rights originated from the joint agreement will be determined by separate mutual agreements in writing of both parties in connection with the joint agreement on a case-by-case basis.
- 4.3 Details of issues including patent applications and award applications for the scientific and technological achievements originated from the collaboration in the joint agreement will be negotiated and determined by separate mutual agreements in writing of both parties in connection with the joint agreement.
- 4.4 Both parties in the joint agreement shall take necessary measures to protect the known intellectual property rights that belong to the other party or to both parties in connection with the joint agreement.



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4.5 Both parties should agree that, unless required by law, each party shall not disclose to any third party any information identified as “confidential” by the disclosing party during the collaboration without prior written approval from the disclosing party.

5. Conferment of Degree

Students under joint agreements will be awarded the doctoral degree of UM after fulfilling all the graduation requirements of the registered doctoral programme of UM. The graduation qualifications of the students would also be checked and acknowledged by the partner institute.